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Dr. Klaus Erkelenz Preis – Kolloquium

HISKP, Universität Bonn

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Bonn-Cologne Graduate School of Physics and Astronomy



Past and present future

The Bonn potential – K. Erkelenz et al.

- based on field theoretical approach
- designed to be used in nuclear structure calculations



Modern structure calculations

- No-core shell model
- Coupled cluster etc.
- SRG-evolved interactions
- . . .





Epelbaum, Krebs, Lähde, Lee, Meißner

Outline



Outline



Prelude

Low-energy universality and finite-range interactions

low energy \rightarrow large wavelength

 $\hookrightarrow \text{low resolution}$

high energy \rightarrow short wavelength









The box

- periodic finite volume
- ${\ensuremath{\, \circ }}$ cube of size L^3



The box

• periodic finite volume

 ${\ensuremath{\, \circ }}$ cube of size L^3

The bound states

- 2-body bound states
- \bullet wavefunction ψ





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The finite volume changes the properties of the system!

The box

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The finite volume changes the properties of the system!

Important for numerical calculations \rightarrow Lattice

Lattice calculations

Solve a physical theory by putting it on a spacetime-lattice!

Lattice QCD

- QCD observables from first principles
- quarks and gluons as degrees of freedom



Nuclear Lattice Calculations

- nuclei from first principles
- nucleons and pions as d.o.f.
- based on chiral effective theory

Lattice artifacts



- $a \rightarrow 0$: continuum limit
- $L \to \infty$: infinite-volume limit

Lüscher's famous formula

Lüscher's idea

Use the volume dependence as a tool!

$$p \cot \delta_0(p) = \frac{1}{\pi L} S(\eta)$$
 , $\eta = \left(\frac{Lp}{2\pi}\right)^2$

p = p(E(L))

- measure energy levels in finite volume
- extract physical scattering phase shift

Outline

Overview

• Part I –

Mass shift of bound states with angular momentum arXiv:1103.4468, 1109.4577

• Part II –

Topological factors in scattering systems arXiv:1107.1272

• Summary

Outline

• Overview 🗸

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Part I

Mass shift of bound states with angular momentum

- Lüscher's result for S-waves
- Bound states in a finite volume
- General result for arbitrary partial waves
- Sign of the mass shift
- Numerical tests

Starting point

S-wave bound state

Lüscher (1986)

$$\Delta m_B = -24\pi |A|^2 \frac{\mathrm{e}^{-\kappa L}}{mL} + \mathcal{O}(\mathrm{e}^{-\sqrt{2}\kappa L})$$



Starting point

S-wave bound state

Lüscher (1986)

$$\Delta m_B = -24\pi |A|^2 \frac{\mathrm{e}^{-\kappa L}}{mL} + \mathcal{O}(\mathrm{e}^{-\sqrt{2}\kappa L})$$



What's the the result for states with angular momentum?

Why care about higher partial waves?

• single nucleon weakly bound to a tight core



- single nucleon weakly bound to a tight core
- can be described as an effective 2-body state



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Halo-EFT

expansion in $R_{\rm core}/R_{\rm halo}$ \rightarrow effective field theory

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expansion in $R_{\rm core}/R_{\rm halo} \rightarrow$ effective field theory



Example

P-wave state just below $^{10}Be+n$ threshold in ^{11}Be

Schrödinger equation

$$\hat{H} = -\frac{1}{2\mu}\Delta_r + V(r)$$
$$\hat{H} |\psi_B\rangle = -\frac{\kappa^2}{2\mu} |\psi_B\rangle$$

finite-range interaction:

$$V(r) = 0$$
 for $r > R$



Schrödinger equation

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finite-range interaction:

 $V(r) = 0 \quad \text{for} \quad r > R$



Radial Schrödinger equation

$$\psi_B(\mathbf{r}) = \frac{u_\ell(r)}{r} Y_\ell^m(\theta, \phi) \rightsquigarrow \left(\frac{\mathrm{d}^2}{\mathrm{d}r^2} - \frac{\ell(\ell+1)}{r^2} - 2\mu V(r) - \kappa^2\right) u_\ell(r) = 0$$

Asymptotic wavefunction



$$\left(\frac{\mathrm{d}^2}{\mathrm{d}r^2} - \frac{\ell(\ell+1)}{r^2} - 2\mu \mathcal{V}(\overline{r}) - \kappa^2\right) u_\ell(r) = 0 \text{ for } r > R$$



Asymptotic wavefunction

$$\left(\frac{\mathrm{d}^2}{\mathrm{d}r^2} - \frac{\ell(\ell+1)}{r^2} - 2\mu V(\tilde{r}) - \kappa^2\right) u_\ell(r) = 0 \text{ for } r > R$$
$$\rightsquigarrow u_\ell(r) = \mathrm{i}^\ell \gamma \, \hat{h}_\ell^+(i\kappa r)$$





Periodic boundary conditions

 \rightarrow infinitely many copies of the potential

$$V_L(\mathbf{r}) = \sum_{\mathbf{n} \in \mathbb{Z}^3} V(\mathbf{r} + \mathbf{n}L)$$
 , $L \gg R$

Periodic boundary conditions

 \rightarrow infinitely many copies of the potential



 $V(r) = V_0 \,\theta(R - r)$

Periodic boundary conditions

 \rightarrow infinitely many copies of the potential



$$V(r) = V_0 \exp(-r^2/R^2)$$

Periodic boundary conditions

 \rightarrow infinitely many copies of the potential



$$\hat{H}_{L} |\psi\rangle = -E_{B}(L) |\psi\rangle$$
$$\hat{H} |\psi_{B}\rangle = -E_{B}(\infty) |\psi_{B}\rangle$$

Mass shift

$$\Delta m_B \equiv E_B(\infty) - E_B(L)$$
$$m_B = M - E_B$$
Finite volume

$$\begin{split} \hat{H}_{L} \left| \psi \right\rangle &= -E_{B}(L) \left| \psi \right\rangle \\ \hat{H} \left| \psi_{B} \right\rangle &= -E_{B}(\infty) \left| \psi_{B} \right\rangle \end{split}$$

Alass shift $\Delta m_B \equiv E_B(\infty) - E_B(L)$ $m_B = M - E_B$

The wavefunction $\psi(\mathbf{r})$ has to be periodic, too!

$$\psi(\mathbf{r} + \mathbf{n}L) = \psi(\mathbf{r})$$

Ansatz:
$$\psi_0(\mathbf{r}) = \sum_{\mathbf{n} \in \mathbb{Z}^3} \psi_B(\mathbf{r} + \mathbf{n}L)$$

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$$\eta(\mathbf{r}) = \sum_{\mathbf{n} \neq \mathbf{n}'} V(\mathbf{r} + \mathbf{n}L) \psi_B(\mathbf{r} + \mathbf{n}'L)$$

 $\langle \psi | \hat{H}_L | \psi_0 \rangle = -E_B(L) \langle \psi | \psi_0 \rangle = -E_B(\infty) \langle \psi | \psi_0 \rangle + \langle \psi | \eta \rangle$

Finite volume

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Result $\Delta m_B = \frac{\langle \psi | \eta \rangle}{\langle \psi_0 | \psi_0 \rangle} = \sum_{|\mathbf{n}|=1} \int d^3 r \, \psi_B^*(\mathbf{r}) \, V(\mathbf{r}) \, \psi_B(\mathbf{r} + \mathbf{n}L) + \mathcal{O}(e^{-\sqrt{2}\kappa L})$

$$\Delta m_B = \sum_{|\mathbf{n}|=1} \int \mathrm{d}^3 r \, \psi_B^*(\mathbf{r}) \, V(\mathbf{r}) \, \psi_B(\mathbf{r} + \mathbf{n}L) + \mathcal{O}(\mathrm{e}^{-\sqrt{2}\kappa L})$$

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It's all determined by the tail!



$$\Delta m_B = \sum_{|\mathbf{n}|=1} \int \mathrm{d}^3 r \, \psi_B^*(\mathbf{r} - \mathbf{n}L) \, V(\mathbf{r} - \mathbf{n}L) \, Y_\ell^m(\theta, \phi) \frac{\mathrm{i}^\ell \gamma \hat{h}_\ell^+(\mathrm{i}\kappa r)}{r} + \cdots$$

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S-waves
$$\rightarrow Y_0^0(\theta,\phi) = \frac{1}{\sqrt{4\pi}}$$
 , $\hat{h}_0^+(\mathrm{i}\kappa r) = \mathrm{e}^{-\kappa r}$

$$\Delta m_B = \sum_{|\mathbf{n}|=1} \int \mathrm{d}^3 r \, \frac{\gamma}{\sqrt{16\pi\mu}} \Big[\Delta_r - \kappa^2 \Big] \psi_B^*(\mathbf{r} - \mathbf{n}L) \, \frac{\mathrm{e}^{-\kappa r}}{r} + \cdots$$

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$$\left[\Delta_r - \kappa^2\right] \frac{\mathrm{e}^{-\kappa r}}{r} = -4\pi\delta^{(3)}(\mathbf{r}) \rightarrow \text{Green's function}$$

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S-waves

sum just yields a factor six...

$$\Delta m_B^{(0,0)} = -3|\gamma|^2 \frac{\mathrm{e}^{-\kappa L}}{\mu L} + \mathcal{O}(\mathrm{e}^{-\sqrt{2}\kappa L})$$

Higher partial waves

$$\Delta m_B = \sum_{|\mathbf{n}|=1} \int \mathrm{d}^3 r \, \frac{1}{2\mu} \Big[\Delta_r - \kappa^2 \Big] \psi_B^*(\mathbf{r} - \mathbf{n}L) \, Y_\ell^m(\theta, \phi) \frac{\mathrm{i}^\ell \gamma \hat{h}_\ell^+(\mathrm{i}\kappa r)}{r} + \cdots$$

Lemma

$$\begin{split} Y_{\ell}^{m}(\theta,\phi) \, \frac{\hat{h}_{\ell}^{+}(\mathrm{i}\kappa r)}{r} &= (-\mathrm{i})^{\ell} \, R_{\ell}^{m} \left(-\frac{1}{\kappa} \boldsymbol{\nabla}_{r}\right) \left[\frac{\mathrm{e}^{-\kappa r}}{r}\right] \\ R_{\ell}^{m}(\mathbf{r}) &= r^{\ell} Y_{\ell}^{m}(\hat{\mathbf{r}}) \end{split}$$

Higher partial waves

$$\Delta m_B = \sum_{|\mathbf{n}|=1} \int \mathrm{d}^3 r \, \frac{1}{2\mu} \Big[\Delta_r - \kappa^2 \Big] \psi_B^*(\mathbf{r} - \mathbf{n}L) \, Y_\ell^m(\theta, \phi) \frac{\mathrm{i}^\ell \gamma \hat{h}_\ell^+(\mathrm{i}\kappa r)}{r} + \cdots$$

Lemma $Y_{\ell}^{m}(\theta,\phi) \frac{\hat{h}_{\ell}^{+}(i\kappa r)}{r} = (-i)^{\ell} R_{\ell}^{m} \left(-\frac{1}{\kappa} \nabla_{r}\right) \left[\frac{e^{-\kappa r}}{r}\right]$ $R_{\ell}^{m}(\mathbf{r}) = r^{\ell} Y_{\ell}^{m}(\hat{\mathbf{r}})$ Lüscher 1991

Higher partial waves

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P-waves

$$\Delta m_B^{(1,0)} = \Delta m_B^{(1,\pm 1)} = 3|\gamma|^2 \frac{e^{-\kappa L}}{\mu L} + \mathcal{O}(e^{-\sqrt{2}\kappa L})$$

Mass shift for P-wave states exactly reversed compared to S-waves!













→ more deeply bound



~ more deeply bound

Bound states in a box - p. 23

odd parity \rightarrow WF profile compressed \rightarrow more curvature

 \rightsquigarrow less bound



~ more deeply bound

Final result

General formula

$$\Delta m_B^{(\ell,\Gamma)} = \alpha \left(\frac{1}{\kappa L}\right) \times |\gamma|^2 \, \frac{\mathrm{e}^{-\kappa L}}{\mu L} + \mathcal{O}(\mathrm{e}^{-\sqrt{2}\kappa L})$$

ℓ	Γ	$\alpha(x)$
0	A_1^+	-3
1	T_1^-	+3
2	T_2^+	$30x + 135x^2 + 315x^3 + 315x^4$
2	E^+	$-\frac{1}{2}\left(15+90x+405x^{2}+945x^{3}+945x^{4}\right)$

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2	E^+	$\left -\frac{1}{2} \left(15 + 90x + 405x^2 + 945x^3 + 945x^4 \right) \right.$



Bound states in a box - p. 24

Results can be checked with a very simple calculation...

Lattice Hamiltonian

$$\hat{H}_{0} = \sum_{\hat{\mathbf{n}}} \left[\frac{3}{\hat{\mu}} a^{\dagger}(\hat{\mathbf{n}}) a(\hat{\mathbf{n}}) - \frac{1}{2\hat{\mu}} \sum_{l=1,2,3} \left(a^{\dagger}(\hat{\mathbf{n}}) a(\hat{\mathbf{n}} + \hat{\mathbf{e}}_{l}) + a^{\dagger}(\hat{\mathbf{n}}) a(\hat{\mathbf{n}} - \hat{\mathbf{e}}_{l}) \right) \right]$$
$$\hat{E}(\hat{\mathbf{q}}) = \frac{1}{\hat{\mu}} \sum_{l=1,2,3} (1 - \cos \hat{q}_{l}) = \frac{1}{2\hat{\mu}} \sum_{l=1,2,3} \hat{q}_{l}^{2} \left[1 + \mathcal{O}(\hat{q}_{l}^{2}) \right]$$

lattice units: $\hat{L} = L/a$, $\hat{E} = E \cdot a$, etc. , a = lattice spacing



- Interaction: $V_{\rm step}(r) = -V_0\,\theta(R-r)$
- Approximate infinite volume with $L_\infty=40$

Methods to calculate mass shift

•
$$\Delta m_B = E_B(L_\infty) - E_B(L)$$
 (direct difference)

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Methods to calculate mass shift

•
$$\Delta m_B = E_B(L_\infty) - E_B(L)$$
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•
$$\Delta m_B = \sum_{|\mathbf{n}|=1} \int \mathrm{d}^3 r \, \psi_B^*(\mathbf{r}) \, V(\mathbf{r}) \, \psi_B(\mathbf{r} + \mathbf{n}L)$$
 (overlap integral)

• $\Delta m_B = \alpha \left(\frac{1}{\kappa L}\right) \cdot |\gamma|^2 \, \frac{\mathrm{e}^{-\kappa L}}{\mu L}$ (Green's function)

Replace

$$e^{-\hat{\kappa}\hat{L}}/\hat{L} \longrightarrow 4\pi \hat{G}_{\hat{\kappa}}(\hat{L},0,0)$$

to reduce discretization errors!

Lattice Green's function

$$\hat{G}_{\hat{\kappa}}(\hat{\mathbf{n}}) = \frac{1}{L^3} \sum_{\hat{\mathbf{q}}} \frac{\mathrm{e}^{-\mathrm{i}\hat{\mathbf{q}}\cdot\hat{\mathbf{n}}}}{Q^2(\hat{\mathbf{q}}) + \hat{\kappa}^2}$$






Numerical checks



Part II

Topological factors in scattering systems

Motivation: atom-dimer scattering



Motivation: atom-dimer scattering



Atom-dimer scattering



A part of E(L) is due to the binding of the dimer!

Part II

Topological factors in scattering systems

- Motivation \checkmark
- Moving bound states in a finite volume
- Mass shift for twisted boundary conditions
- Corrections for scattering states
- Conclusion: corrected atom-dimer results

Bound states in moving frames

So far...

considered two-particle state directly in relative coordinates

wavefunction $\psi(\mathbf{r})$, $\mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2$



Bound states in moving frames

So far...

considered two-particle state directly in relative coordinates

wavefunction $\psi(\mathbf{r})$, $\mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2$

Now

full wavefunction $\Psi(\mathbf{r}_1,\mathbf{r}_2)=e^{i\mathbf{P}\cdot\mathbf{R}}\;\psi(\mathbf{r})$

$$\mathbf{P} = \text{center-of-mass momentum} \\ \mathbf{R} = \alpha \mathbf{r}_1 + (1 - \alpha) \mathbf{r}_2 , \ \alpha = \frac{m_1}{m_1 + m_2}$$



Bound states in moving frames

So far...

considered two-particle state directly in relative coordinates

wavefunction $\psi(\mathbf{r})$, $\mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2$



Put system into finite box, impose periodic BC...

Twisted boundary conditions



Now $\Psi(\mathbf{r}_1, \mathbf{r}_2)$ has to be periodic!

$$\Psi(\mathbf{r}_1 + \mathbf{n}L, \mathbf{r}_2) = e^{i\mathbf{P}\cdot\mathbf{R}} e^{i\alpha L\mathbf{P}\cdot\mathbf{n}} \psi(\mathbf{r} + \mathbf{n}L) = \Psi(\mathbf{r}_1, \mathbf{r}_2)$$
$$\rightsquigarrow \psi(\mathbf{r} + \mathbf{n}L) = e^{-i\alpha L\mathbf{P}\cdot\mathbf{n}} \psi(\mathbf{r})$$

"twisted boundary conditions"



Twisted boundary conditions



Now $\Psi(\mathbf{r}_1,\mathbf{r}_2)$ has to be periodic!

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"twisted boundary conditions"





• boundary condition: $\psi(\mathbf{r} + \mathbf{n}L) = e^{-i\boldsymbol{\theta}\cdot\mathbf{n}} \psi(\mathbf{r})$, $\boldsymbol{\theta} = \alpha L \mathbf{P}$

• new ansatz:
$$\psi_0(\mathbf{r}) = \sum_{\mathbf{n}\in\mathbb{Z}^3} \psi_B(\mathbf{r}+\mathbf{n}L)\,\mathrm{e}^{\mathrm{i}m{ heta}\cdot\mathbf{n}}$$

• boundary condition: $\psi(\mathbf{r} + \mathbf{n}L) = e^{-i\boldsymbol{\theta}\cdot\mathbf{n}} \psi(\mathbf{r}) \ , \ \boldsymbol{\theta} = \alpha L \mathbf{P}$

$$ullet$$
 new ansatz: $\psi_0({f r}) = \sum_{{f n}\in \mathbb{Z}^3} \psi_B({f r}+{f n}L)\,{f e}^{{f i}m{ heta}\cdot{f n}}$

$$\rightsquigarrow \Delta m_B = \sum_{|\mathbf{n}|=1} \int \mathrm{d}^3 r \, \psi_B^*(\mathbf{r}) \, V(\mathbf{r}) \, \psi_B(\mathbf{r} + \mathbf{n}L) \, \mathrm{e}^{\mathrm{i}\boldsymbol{\theta} \cdot \mathbf{n}} + \mathcal{O}(\mathrm{e}^{-\sqrt{2}\kappa L})$$

S-wave result

$$\Delta m_B = -|\gamma|^2 \frac{\mathrm{e}^{-\kappa L}}{\mu L} \times \sum_{\mathbf{n}=\hat{\mathbf{e}}_x, \hat{\mathbf{e}}_y, \hat{\mathbf{e}}_z} \cos(\boldsymbol{\theta} \cdot \mathbf{n}) + \cdots$$

• boundary condition: $\psi(\mathbf{r} + \mathbf{n}L) = e^{-i\boldsymbol{\theta}\cdot\mathbf{n}} \psi(\mathbf{r}) \ , \ \boldsymbol{\theta} = \alpha L \mathbf{P}$

• new ansatz:
$$\psi_0(\mathbf{r}) = \sum_{\mathbf{n}\in\mathbb{Z}^3} \psi_B(\mathbf{r}+\mathbf{n}L)\, \mathrm{e}^{\mathrm{i}m{ heta}\cdot\mathbf{n}}$$

$$\rightsquigarrow \Delta m_B = \sum_{|\mathbf{n}|=1} \int \mathrm{d}^3 r \, \psi_B^*(\mathbf{r}) \, V(\mathbf{r}) \, \psi_B(\mathbf{r} + \mathbf{n}L) \, \mathrm{e}^{\mathrm{i}\boldsymbol{\theta} \cdot \mathbf{n}} + \mathcal{O}(\mathrm{e}^{-\sqrt{2}\kappa L})$$

S-wave result

$$\Delta m_B = -|\gamma|^2 \frac{\mathrm{e}^{-\kappa L}}{\mu L} \times \sum_{\mathbf{n}=\hat{\mathbf{e}}_x, \hat{\mathbf{e}}_y, \hat{\mathbf{e}}_z} \cos(\boldsymbol{\theta} \cdot \mathbf{n}) + \cdots$$

$$\sum_{\mathbf{n}=\hat{\mathbf{e}}_x, \hat{\mathbf{e}}_y, \hat{\mathbf{e}}_z} \cos(\boldsymbol{\theta} \cdot \mathbf{n}) = 3 \text{ for } \boldsymbol{\theta} = (0, 0, 0) \Rightarrow \text{ consistent } \boldsymbol{\theta}$$

$$\sum_{\mathbf{n}} \cos(\boldsymbol{\theta} \cdot \mathbf{n}) = 3 \text{ for } \boldsymbol{\theta} = (0,0,0) \rightarrow \text{ consistent } \checkmark$$

• boundary condition: $\psi(\mathbf{r} + \mathbf{n}L) = e^{-i\boldsymbol{\theta}\cdot\mathbf{n}} \psi(\mathbf{r}) \ , \ \boldsymbol{\theta} = \alpha L \mathbf{P}$

$$ullet$$
 new ansatz: $\psi_0({f r}) = \sum_{{f n}\in \mathbb{Z}^3} \psi_B({f r}+{f n}L)\,{f e}^{{f i}m{ heta}\cdot{f n}}$

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The mass shift vanishes in certain moving frames! \rightarrow Davoudi & Savage, arXiv:1108.5371

Scattering states

Now consider the scattering of two states A and B...

Scattering wavefunction

$$\langle \vec{r} | \Psi_p \rangle = c \sum_{\vec{k}} \frac{e^{i\frac{2\pi\vec{k}}{L}\cdot\vec{r}}}{\left(2\pi\vec{k}/L\right)^2 - p^2} , \ E_{AB}(p,L) = \frac{\langle \Psi_p | \hat{H} | \Psi_p \rangle}{\langle \Psi_p | \Psi_p \rangle}$$



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Topological correction factors

Topological volume factor

$$\tau(\eta) = \frac{1}{N} \sum_{\vec{k}} \frac{\sum_{l=1,2,3} \cos(2\pi\alpha k_l)}{3(\vec{k}^2 - \eta)^2} \quad , \quad \eta = \left(\frac{Lp}{2\pi}\right)^2$$

Final result:

$$E_{AB}(p,L) - E_{AB}(p,\infty) = \tau_A(\eta) \,\Delta E^A_{\vec{0}}(L) + \tau_B(\eta) \,\Delta E^B_{\vec{0}}(L)$$

Subtract this correction from measured energy levels! $\ \Rightarrow$

Bound states in a box - p. 39









The End

Summary

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- Sign of the shift can be related to parity of the states.
- Predictions can be tested by numerical calculations.
- Mass shift of composite particles has to be corrected for in scattering calculations.

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Thanks for your attention!